



3712

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JON C. MARINE, IVAN CHAN and SAM TSUI

Dated: January 26, 2004

Serial No. : 10/661,310

Our File: MAT 3D9A

Filing Date : September 12, 2003

Group Art Unit: 3712

For : ANIMATED TOY WITH GENEVA MECHANISM

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98

Applicants are submitting this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the U.S. Patent and Trademark Office the patents, publications, applications, and/or other references listed on the enclosed, completed PTO-1449 form(s). The filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicants respectfully request that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

CONTENT OF DISCLOSURE

This Information Disclosure Statement includes three (3) pages of PTO-1449 forms; however, it does not include copies of the references listed on the form(s), as permitted under

37 C.F.R. § 1.98(d), because copies already were provided in an earlier U.S. application (Serial No. 09/908,971, now U.S. Patent No. 6,623,327) that is relied on here for an earlier effective filing date under 35 U.S.C. § 120.


TIMING OF DISCLOSURE / FEE INFORMATION

This Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, either (1) before the mailing of a first Office action on the merits, or (2) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Therefore, in accordance with 37 C.F.R. § 1.97(b), no fee or statement under 37 C.F.R. § 1.97(e) is required.

Please contact the undersigned with any questions or comments regarding this Information Disclosure Statement.

Respectfully submitted,

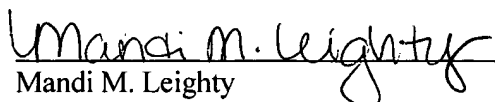
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on January 26, 2004.



Mandi M. Leighty

FORM PTO-1449

INFORMATION DISCLOSURE
CITATION IN AN APPLICATION

DOCKET NUMBER

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	3,298,130	01/17/67	Ryan			
	3,583,093	06/08/71	Glass et al.			
	3,650,065	03/21/72	Johmann			
	3,672,096	06/27/72	Johmann			
	3,846,934	11/12/74	Thorn et al.			
	3,912,694	10/14/75	Chiappe et al.			
	4,023,254	05/17/77	Aiple et al.			
	4,073,088	02/14/78	Beny et al.			
	4,177,602	12/11/79	Choi			
	4,349,987	09/21/82	Bart			
	4,516,951	05/14/85	Saigo et al.			

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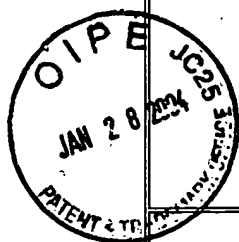
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
WO 01/49383 A1	07/12/01	PCT			X	
JP 5253866A	10/05/93	Japan			X	
JP 3258282A	11/18/91	Japan			X	

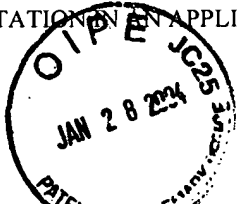
OTHER DOCUMENTS

	Selected pages from a book entitled "Machine Devices and Instrumentation", <i>Kinematics of Intermittent Mechanisms I-The External Geneva Wheel and Kinematics of Intermittent Mechanisms II-The Internal Geneva Wheel</i> , Copyright 1966
	Herbert Herkiner, ed., <i>Engineers' Illustrated Thesaurus</i> , W. M. Pem Publishing, 1952, pp. 172-173.

EXAMINER

DATE CONSIDERED



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	FILING DATE September 12, 2003	GROUP ART UNIT 3712

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	4,563,163	01/07/86	Herbstler et al.			
	4,665,640	05/19/87	Forsse et al.			
	4,681,993	07/21/87	Kondo et al.			
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	5,092,102	03/03/92	James et al.			
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
	JP 2065887A	03/06/90	Japan			X	
	JP 60241110A	11/30/85	Japan			X	

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		Selected pages, Geneva 1 and 2 from book entitled "Mechanism Linkages and Mechanical Controls" author Nicholas Chironis, (c) 1965
		Selected pages from a book entitled "Mechanisms, Linkages, and Mechanical Controls." <i>Geneva Mechanims</i> , Copyright 1965.

EXAMINER	DATE CONSIDERED
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U.S. PATENT DOCUMENTS

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	5,236,385	08/17/93	May			
	5,310,377	05/10/94	Joja			
	5,316,516	05/31/94	Saitoh			
	5,394,766	03/07/95	Johnson et al.			
	5,405,142	04/11/95	Arad et al.			
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	5,820,441	10/13/98	Pracas			
	5,941,756	08/24/99	Chou			

FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS

		Selected pages, Geneva 3, 4, 5, 6, 7 and 8 from book entitled "Mechanisms and Mechanical Devices 2 nd Edition", authors Nicholas Chironis, Neil Sclater, (c) 1996,1991
EXAMINER		DATE CONSIDERED